## **HELICAL COIL-ON-TUBE HEAT EXCHANGER**

## FIELD OF THE INVENTION

[0001] The present invention relates generally to heat exchange devices. More particularly, the present invention relates to coil-on-tube heat exchangers.

## **BACKGROUND OF THE INVENTION**

[0002] Heat exchange devices, or heat exchangers, are devices for transferring heat from one medium to another, typically from one fluid to another or to the environment, without allowing the fluids to mix. Some examples are: automobile radiators; air conditioners, which use both a condenser and an evaporator; and steam and hot water radiators, which are used to produce heat. In order to prevent mixing of the fluids, or liquids, a barrier is provided between the two liquids or media. Many different heat exchanger barrier designs are used. In a "plate and frame" design, which is very compact, two liquid streams pass on opposing sides of one or more plates. The total heat transfer surface may be increased by increasing the area of plates and the number of plates. In a "tube and shell" design, one stream of liquid flow passes through the tube(s) and the other through the remaining space inside a shell that surrounds the tubes. A special subcategory the tube and shell design would be an immersion coil type design, such as a heating coil in a tank. However, both the "plate and frame" and "tube and shell" designs are susceptible to fouling and clogging. These drawbacks are considerable when considering applications relating to treatment of waste water.

[0003] A particular application of heat exchangers is in the area of waste water heat reclamation or "recovery". There are many examples of both "tube and shell" and "plate and frame" waste water systems. However, many of these systems often require a filter, because they are susceptible to clogging and/or fouling due to the nature of their design. Also, in addition to the heat exchanger itself, it is often necessary to have an elaborate apparatus to perform the actual waste water treatment. Some of these systems include coils, but these coils are often a part of a tube and shell design, such as an immersion coil.

[0004] Helical coil-on-tube heat exchangers have been in use for some time. This type of heat exchanger typically consists of a single coil that is wrapped around a tube. Prior coil-on-tube heat exchangers have been used as direct-fired water heaters, in which combustion takes place within the tube, warming the liquid in the coil. Coil-on-tube heat exchangers are also used for waste-water heat recovery.

[0005] Typical liquid flow rates have traditionally been modest using the single coil design. More recent applications of this class of heat exchanger, such as wastewater heat recovery, have resulted in much higher liquid flow rates. Coil-on-tube type heat exchangers have a significant advantage in wastewater applications as the center tube allows the waste water to pass through easily without clogging. Production rates for single-coil-on-tube heat exchangers are low and provide good performance.

[0006] However, in many applications, desired flow rates result in a large pressure loss in single-coil designs. The loss is generally proportional to the distance travelled in the coil, the second order of the flow rate, and is inversely proportional to the cross-sectional area. When long lengths of coil are required, the resulting pressure loss is not acceptable for many applications.

[0007] By increasing the number of liquid pathways on the coil side of the heat exchanger, pressure loss can be reduced. Coil-on-tube heat exchangers having multiple coils exist, with different designs being typically used for different applications. The number of coils used depends on the maximum desired flow rate. The higher the desired flow rate, the more coils are needed to keep pressure losses to a reasonable amount. For example, in a single residential installation, such as most houses, a ½ inch nominal tube is used for a coil, and 1 to 2 coils are used. For apartment buildings, 2 to 4 coils are typically used, and in commercial settings (such as health clubs, etc.), several coils are typically used by manifolding heat exchangers. Each design is not necessarily limited to a given application (a 4 coil unit could be used for a commercial or a residential application). The important thing is that the number of coils be high enough to keep the pressure loss low enough for the flow rate in a given application.

[0008] Fig. 1 illustrates a conventional heat exchanger with multiple coils, each provided as single-coil helixes. In such a known design of a heat exchanger 10, a center tube 12 is provided having a center tube inlet end 14 and a center tube outlet end 16. In the

[0030] The term "liquid" as used herein represents any liquid, such as water, a chemical substance, or any other aqueous solution, liquid or semi liquid substance, such as drain water, waste water or other waste liquid, sludge, grey water, black water or any liquid having solid and/or semi-solid components.

[0031] The term "in a parallel relationship", as used herein in relation to coil tubes being helically wrapped in a parallel relationship along the length of the center tube, represents the coil tubes being located side by side and coiled together along the center tube. The parallel relationship refers to the physical location of the tubes in relation to each other.

[0032] The term "substantially co-located", as used herein in relation to ends of coil tubes being substantially co-located, represents each of the ends being located in substantially the same region of the center tube around which they are wrapped. They can be at a particular end of the center tube, but can alternatively be at any point along the length of the center tube.

[0033] Fig. 2 illustrates a perspective view of a coil-on-tube heat exchanger according to an embodiment of the present invention. The heat exchanger 100 includes a center tube 102 for a first liquid flow, such as drain water flow, having a center tube inflow end 104 and a center tube outflow end 106. A plurality of coils, or coil tubes, 108 are provided for a second liquid flow, such as fresh water flow, each having a coil tube inflow end 110 and a coil tube outflow end 112. The plurality of coil tubes 108 are helically wrapped in parallel with each other along the length of the center tube, preferably along substantially the entire length of the center tube. In contrast to known counter-flow and coil-on-tube heat exchangers, each of the plurality of coil tubes 108 is in contact with the center tube 102. Each of the plurality of coil tubes 108 also extends substantially along the same length of the center tube, preferably along substantially the entire length of the center tube.

[0034] Embodiments of the present invention are preferably used to recover heat from warm flows of wastewater, but the invention is not limited to these applications. For example, the heat from wastewater in the center tube 102 flowing in a direction F1 is preferably used to heat freshwater flowing in a direction F2 in the plurality of coil tubes 108. For this reason, it is advantageous according to embodiments of the present invention that each of the plurality of coil tubes 108 is in contact with the center tube 102, so that the

benefit of the warm flows of wastewater can be applied to liquid flowing in each of the plurality of coil tubes **108**. Although the liquid flows in the coil tubes **108** in a direction that appears somewhat perpendicular to **F1**, the liquid in the coil tubes **108** progresses along the heat exchanger in a direction **F2**, and therefore creates a counter-flow heat-exchanger.

[0035] Though the embodiment of Fig. 2 is shown with the liquid flows F1 and F2 so that the heat exchanger is a counter-flow heat exchanger, the same device can be used with liquid flows F1 and F2 being in substantially similar, or substantially parallel, directions.

Occasionally this is a desirable method of installation, as is known to those of skill in the art.

[0036] With the design of a heat exchanger 100 as shown in Fig. 2, the plurality of coil tubes can be two or more tubes that are wrapped together around the center tube to form a helix. A result of this design is that in a presently preferred embodiment, the flows of liquid through the plurality of coil tubes 108 begin/end at the same end of the tube 102, forming a counter-flow heat exchanger. In other words, the coil tube inflow ends 110 are preferably each provided at or near the center tube inflow end 104. The coil tube outflow ends 112 are preferably each provided at or near the center tube outflow end 106. This provides a lower loss in liquid pressure through the coil tubes 108 as opposed to a heat exchanger having a single helical around the center tube, as is known in the prior art.

[0037] To summarize the general embodiment shown in Fig. 2, a coil-on-tube heat exchanger is provided having a center tube for a first liquid flow. The heat exchanger includes a plurality of coil tubes for a second liquid flow. The coil tubes are helically wrapped in parallel with each other along the length of the center tube. Each of the plurality of coil tubes is in contact with the center tube and extends substantially along the same length of the center tube, preferably substantially along the entire length of the center tube. The heat exchanger according to aspects of the present invention is commonly used for wastewater heat recovery, where the first liquid flow is a drain water flow and the second liquid flow is a fresh water flow.

[0038] Fig. 3 illustrates a coil-on-tube heat exchanger according to another embodiment of the present invention. As mentioned earlier, embodiments of the present invention provide that each of the plurality of coil tubes extends substantially along the same length of the center tube. In the embodiment of Fig. 2, the coil tubes 108 extend substantially along the entire length of the center tube 102. However, this is not always

desired. In the embodiment of **Fig. 3**, the plurality of coils extend substantially along the same length of the center tube **102**, but do not extend substantially along the entire length of the center tube. This embodiment illustrates that the helix formed by the plurality of coil tubes **108** can start part way along the center tube **102**, and can end part way along the center tube **102**.

[0039] In this particular embodiment, the helix of five coil tubes extends along about half the length of the center tube 102. The coil tube inflow ends 110 of the coil tubes 108 are provided substantially at the center tube outflow end 106, and the coil tube outflow ends 112 are provided at a point along the length of the center tube 102, such as about half-way along in the case of Fig. 3.

[0040] An implementation such as in Fig. 3 is advantageous for certain applications. For instance, in a situation where a heat exchanger according to an embodiment of the present invention is to be installed in a house having abrupt elbow joints, the heat exchanger of Fig. 3 may preferably be used. Having a bare center tube 102 to mate with the elbow permits a better and more even drain water coating of the inside wall of the center pipe if a leading edge is provided, as opposed to the helix extending along that portion. Moreover, the coil tubes 108 contribute the majority of the weight and material cost of a heat exchanger according to embodiments of the present invention. Therefore, in situations where having the helix extend only along a portion of the length of the center tube is needed or preferred, this can result in cost savings in terms of heat exchanger production.

[0041] The embodiments described in relation to Fig. 2 and Fig. 3 show a heat exchanger with a single helix formed by the plurality of coil tubes 108. Further embodiments of the present invention include a plurality of such helixes. Fig. 4 illustrates a heat exchanger according to a further embodiment of the present invention including a plurality of helixes. A first and second helix 114 and 116 include a first and second plurality of coil tubes 118 and 120, respectively. As illustrated in Fig. 4, the helixes can be of different lengths, and can include a different number of coil tubes. For example, helix 114 extends along about 2/3 of the length of the center tube 102 and includes four coil tubes 118, while helix 116 extends along about 1/3 of the length of the center tube 102 and includes two coil tubes 120.

[0042] An implementation such as in Fig. 4 is advantageous for certain applications, such as some industrial applications, in which a first liquid flow and a second liquid flow may

be advantageously kept at different temperatures. For instance, a first liquid flow can be used for cleaning floors, and is desired to be kept at a high temperature. A second liquid flow can be used for another process, such as a chemical process, in which the liquid temperature is to be kept within a certain temperature range, for example below 30 degrees Celsius. In such a case, an embodiment of the present invention such as illustrated in Fig. 4 can advantageously be employed, providing the advantages of the present invention, with some of the flexibility of feeding separate liquid flows as in Fig. 1. Moreover, manufacturing of smaller helixes can be easier, and may be preferable when a high number of parallel coils is used. As illustrated in Fig. 4, the helixes need not cover the entire length of the center tube 102. Such an implementation can be useful in situations where physical limitations exist in a location where the heat exchanger is to be installed, and it may not be necessary to have the coil tubes covering a particular portion of the center tube.

The coil tubes **108** are not limited to tubes of the same cross-section or of any specific cross-section. Any number of tubes of differing cross-sectional shapes/profiles and sizes may be coiled in parallel to form the heat exchanger. In a preferred embodiment, the coil tubes **108** are of substantially equal, or substantially similar, cross-sectional area so as to treat a substantially similar volume of flow through each tube. However, in alternative embodiments of the present invention, each coil tube **108** can be of differing cross-sectional size and profile. The plurality of coil tubes can each have a substantially similar cross-sectional profile, such as a cross-sectional profile that is substantially rectangular or substantially annular. The cross-sectional profile can alternatively have a flat surface at an interface with the center tube, and not necessarily have a flat surface on the parts that do not interface with the center tube. The cross-sectional profiles can be dimensioned so that each coil tube is for receiving a substantially similar volume of liquid flow. The plurality of coil tubes can be of substantially equal size and/or length.

[0044] The plurality of coil tubes 108 can be referred to collectively as a helix. The pitch of the helix can preferably be adjusted according to the number of coil tubes being used, so that the distance between wraps and coil tubes is minimized, though this space may be varied and need not be constant. Thus the plurality of coil tubes can be wrapped around the center tube most efficiently without leaving significant space between succeeding wrappings of tube and thereby making maximum use of the heat transfer area available. In

other words, the plurality of coil tubes is preferably arranged in a helix such that there is minimum space between each of the plurality of coil tubes. As compared to prior art single coil-on-tube heat exchangers, and those that use multiple coils each covering a different longitudinal area of the center tube, embodiments of the present invention have a pitch that is higher. For example, when each of the coil tubes is of the same width, in between each ring or wind of a particular coil tube are provided the other(s) of the plurality of coil tubes.

A particular embodiment is provided in Fig. 5, which shows a perspective view [0045] of a counter-flow coil-on-tube heat exchanger according to an embodiment of the present invention, including a header or manifold. The embodiment in Fig. 5 illustrates a counterflow coil-on-tube heat exchanger with six parallel coil tubes 108. The flow of liquid is split, in this embodiment, at an inflow end of the helix, using an inflow header 122, to the plurality of coil tubes 108. The inlet header can thus split incoming liquid flow into a plurality of parallel flows for travel along a substantially similar path around the helix in the plurality of coil tubes. A similar outflow header 124 mixes the multiple flows in the plurality of coil tubes 108 back into a single flow at the outflow end of the helix. As mentioned earlier, the inflow end of the helix is preferably provided at or near the center tube outflow end 106, and the outflow end of the helix is preferably provided at or near the center tube inflow end 108. The incoming liquid flow is thus split into a plurality of parallel flows that travel a substantially similar path around the helix, in a direction opposing that of the flow through the center tube. Relative to prior designs having a single helical coil, the loss in pressure as the liquid travels through the coil tubes is much lower.

[0046] It is worth noting that a header, or manifold, or a plurality thereof can be provided with any of the embodiments of the present invention. For instance, a pair of inflow and outflow headers can be suitably used with the embodiment shown in **Fig. 4**.

[0047] Of course, a heat exchanger according to embodiments of the present invention does not need a header to operate. For example, the plurality of coil tubes can have many different liquid inputs. As such, each of these different liquid inputs can benefit from the heat exchanger, without having to be processed together. The different liquid flows in the plurality of coil tubes can each be processed separately at the inlet and outlet ends of the helix. Also, there can be any combination of headers (zero to many) at the inlet and outlet.

[0030] The term "liquid" as used herein represents any liquid, such as water, a chemical substance, or any other aqueous solution, liquid or semi liquid substance, such as drain water, waste water or other waste liquid, sludge, grey water, black water or any liquid having solid and/or semi-solid components.

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benefit of the warm flows of wastewater can be applied to liquid flowing in each of the plurality of coil tubes 108. Although the liquid flows in the coil tubes 108 in a direction that appears somewhat perpendicular to F1, the liquid in the coil tubes 108 progresses along the heat exchanger in a direction F2, and therefore creates a counter-flow heat-exchanger.

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[0040] An implementation such as in **Fig. 3** is advantageous for certain applications. For instance, in a situation where a heat exchanger according to an embodiment of the present invention is to be installed in a house having abrupt elbow joints, the heat exchanger of **Fig. 3** may preferably be used. Having a bare center tube **102** to mate with the elbow permits a better and more even drain water coating of the inside wall of the center pipe if a leading edge is provided, as opposed to the helix extending along that portion. Moreover, the coil tubes **108** contribute the majority of the weight and material cost of a heat exchanger according to embodiments of the present invention. Therefore, in situations where having the helix extend only along a portion of the length of the center tube is needed or preferred, this can result in cost savings in terms of heat exchanger production.

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[0043] The coil tubes 108 are not limited to tubes of the same cross-section or of any specific cross-section. Any number of tubes of differing cross-sectional shapes/profiles and sizes may be coiled in parallel to form the heat exchanger. In a preferred embodiment, the coil tubes 108 are of substantially equal, or substantially similar, cross-sectional area so as to treat a substantially similar volume of flow through each tube. However, in alternative embodiments of the present invention, each coil tube 108 can be of differing cross-sectional size and profile. The plurality of coil tubes can each have a substantially similar cross-sectional profile, such as a cross-sectional profile that is substantially rectangular or substantially annular. The cross-sectional profile can alternatively have a flat surface at an interface with the center tube, and not necessarily have a flat surface on the parts that do not interface with the center tube. The cross-sectional profiles can be dimensioned so that each coil tube is for receiving a substantially similar volume of liquid flow. The plurality of coil tubes can be of substantially equal size and/or length.

[0044] The plurality of coil tubes 108 can be referred to collectively as a helix. The pitch of the helix can preferably be adjusted according to the number of coil tubes being used, so that the distance between wraps and coil tubes is minimized, though this space may be varied and need not be constant. Thus the plurality of coil tubes can be wrapped around the center tube most efficiently without leaving significant space between succeeding wrappings of tube and thereby making maximum use of the heat transfer area available. In

other words, the plurality of coil tubes is preferably arranged in a helix such that there is minimum space between each of the plurality of coil tubes. As compared to prior art single coil-on-tube heat exchangers, and those that use multiple coils each covering a different longitudinal area of the center tube, embodiments of the present invention have a pitch that is higher. For example, when each of the coil tubes is of the same width, in between each ring or wind of a particular coil tube are provided the other(s) of the plurality of coil tubes.

[0045] A particular embodiment is provided in Fig. 5, which shows a perspective view of a counter-flow coil-on-tube heat exchanger according to an embodiment of the present invention, including a header or manifold. The embodiment in Fig. 5 illustrates a counterflow coil-on-tube heat exchanger with six parallel coil tubes 108. The flow of liquid is split, in this embodiment, at an inflow end of the helix, using an inflow header 122, to the plurality of coil tubes 108. The inlet header can thus split incoming liquid flow into a plurality of parallel flows for travel along a substantially similar path around the helix in the plurality of coil tubes. A similar outflow header 124 mixes the multiple flows in the plurality of coil tubes 108 back into a single flow at the outflow end of the helix. As mentioned earlier, the inflow end of the helix is preferably provided at or near the center tube outflow end 106, and the outflow end of the helix is preferably provided at or near the center tube inflow end 108. The incoming liquid flow is thus split into a plurality of parallel flows that travel a substantially similar path around the helix, in a direction opposing that of the flow through the center tube. Relative to prior designs having a single helical coil, the loss in pressure as the liquid travels through the coil tubes is much lower.

[0046] It is worth noting that a header, or manifold, or a plurality thereof can be provided with any of the embodiments of the present invention. For instance, a pair of inflow and outflow headers can be suitably used with the embodiment shown in **Fig. 4**.

[0047] Of course, a heat exchanger according to embodiments of the present invention does not need a header to operate. For example, the plurality of coil tubes can have many different liquid inputs. As such, each of these different liquid inputs can benefit from the heat exchanger, without having to be processed together. The different liquid flows in the plurality of coil tubes can each be processed separately at the inlet and outlet ends of the helix. Also, there can be any combination of headers (zero to many) at the inlet and outlet.

[0048] For convenience, the presently preferred embodiment of the present invention uses standard available sizes and diameters of copper tube. Of course, any other tube diameter, shape, or material may be used for the center tube or coil tubes. As larger contact areas between each of the plurality of coil tubes 108 and center tube 102 aid heat transfer, the preferred embodiment of the invention includes helical coil tubes having a substantially flattened, or rectangular, cross-sectional profile. Although this feature is preferred for reasons of performance, the cross-sectional profile of the coil tube is by no means limited to this shape for the present invention.

[0049] In order to limit liquid pressure losses to a modest quantity for different sizes of heat exchangers, the present embodiments of the invention use different numbers of coil tubes. Although presently preferred embodiments use 2 to 6 coil tubes, the invention is not limited to this range. For example, an embodiment of the invention can have a multiplicity of coil tubes that is the maximum number that can be wound around the center tube, so that essentially the heat exchanger has a series of "rings" up the tube, since each coil tube would only complete a single wrapping of the center tube.

[0050] Due to the production process, the presently preferred embodiment of the invention uses coil tubes that are wrapped around the center tube in a counter-clockwise direction. Wrapping the coil tubes in a clockwise direction would still fall into the scope of the present invention. The coil tubes are preferably anchored to the center tube at each end by an anchor. The anchors can be provided at the inlet end and/or the outlet end of each of the coil tubes. The anchor can be provided by any suitable means, such as brazing or welding, in order to maintain the tension in the tubes that will keep them wrapped tightly around the pipe and thereby ensure good thermal contact.

[0051] In summary, a coil on tube heat exchanger is provided that uses multiple parallel helical coil tubes to limit liquid pressure losses while providing at least similar performance and production times to previous coil and tube designs. A plurality of coil tubes are helically wrapped together around a center tube in parallel with each other, thereby forming a counter-flow heat exchanger. The system can include a header, or manifold, to connect two or more of the coils together at the beginning and/or end of the tube. However, each individual coil can alternatively be connected to a separate load and kept independent, and therefore a header is not required for the functioning of the invention. Embodiments of

the present invention advantageously provide reduced pressure loss, higher performance and are generally faster and easier to manufacture than prior heat exchangers.

[0052] The above-described embodiments of the present invention are intended to be examples only. Alterations, modifications and variations may be effected to the particular embodiments by those of skill in the art without departing from the scope of the invention, which is defined solely by the claims appended hereto.